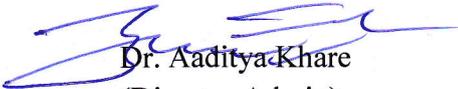


## RESEARCH AND DEVELOPMENT INCENTIVE SCHEME

## 1. Research Publication Incentive:

S. No.	Particulars	Condition
1.	Eligibility	University/ Institute employee with a full-time appointment shall be eligible to apply
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. Faculty members required to apply on a prescribed format and the same should be submitted to R&amp;D cell.</li> <li>2. Author/s must have the affiliation of the SRU/ SRGOI /SRIMSR.</li> <li>3. Publication must be in UGC-CARE listed or SCOPUS/ SCI/ SSCI/ AHCI listed journal.</li> <li>4. A copy of the published journal/ article/ review paper must be deposited at the University /Institute library.</li> <li>5. Only the first author/ author for correspondence/ research supervisor of SRU/ SRGOI/ SRIMSR as co-author shall be eligible for incentive.</li> <li>6. Incentive shall be provided only after verification by the University / Institute library and duly certified by R &amp; D cell.</li> <li>7. An individual faculty shall be eligible for incentive for two research publications only in an academic year.</li> </ol>
3.	Incentive	<ol style="list-style-type: none"> <li>1. For UGC-CARE listed journal incentive is INR. 2000/-per publication.</li> <li>2. For SCOPUS listed it is INR. 5000/- per publication.</li> <li>3. For SCI/ SSCI/ AHCI listed it is INR. 7000/- per publication.</li> <li>4. In case all the authors are from SRU/ SRGOI/ SRIMSR then <b>the first author will be eligible for 60% incentive.</b> Rest amount will be distributed equally amongst other authors.</li> <li>5. If paper is published by author(s) outside the University and co-author(s) are from SRU/ SRGOI/ SRIMSR then incentive will be distributed as per following: <ol style="list-style-type: none"> <li>1<sup>st</sup> Co-Author: 75% of incentive</li> <li>2<sup>nd</sup> Co-Author: 50% of incentive</li> <li>3<sup>rd</sup> Co-Author: 25% of incentive</li> </ol> </li> </ol> <p>If two or more co-authors are from SRU/ SRGOI/ SRIMSR then the incentive will be distributed as per following:</p> <p>In case 1<sup>st</sup> co-author and other co-authors are from SRU/ SRGOI/ SRIMSR : 1<sup>st</sup> co-author will get 60% of 75% and rest will be divided equally amongst others,</p> <p>In case 2<sup>nd</sup> co-author and other co-authors are from SRU/ SRGOI/ SRIMSR : 2<sup>nd</sup> co-author will get 60% of 50% and rest will be divided equally amongst others,</p> <p>In case 3<sup>rd</sup> co-author and other co-authors are from SRU/ SRGOI/ SRIMSR: 3<sup>rd</sup> co-author will get 60% of 25% and rest will be divided equally amongst others.</p>

  
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## 2. Seed Money/ Mini Project/ Start-up to promote Research:

S. No.	Items	Conditions
1.	Eligibility	<ol style="list-style-type: none"> <li>1. University/ Institute faculty with a full-time appointment and with at least one year of service at the University/ Institute shall be eligible to apply.</li> <li>2. In case of research projects submitted by a group, the Principal Investigator (PI) should be from SRU/ SRGOI/ SRIMSR.</li> <li>3. The seed grant usually be provided to start or initiate a research programs/ start-up that has the potential to self-sustain/ by attracting funds from external agencies.</li> <li>4. At any time, a PI can submit only one application.</li> <li>5. The PI at the time of submission of application shall not have a running project funded by the seed-funding scheme in which he or she is the PI.</li> </ol>
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. The detailed proposal of research (time-bound research plan) must be submitted in a prescribed format to R&amp;D Cell for examination and evaluation, which will be reviewed by an expert committee constituted by R&amp;D cell.</li> <li>2. PI is required to make a presentation before the expert committee. Final approval will be granted by the Hon'ble Executive Director (ED) on the recommendation of expert committee.</li> <li>3. The detailed proposal that makes use of research on subjects like human beings, other living beings and the environment, must be routed through the University/Institute ethical committee, and after getting clearance from the institutional ethical committee, the proposal will be submitted to the R&amp;D cell.</li> <li>4. The PI or his/her team must publish the findings of the research in two to three research papers or articles and the publications must be in SCI/ SSCI/ AHCI/ SCOPUS/ UGC-CARE listed journals.</li> <li>5. A copy of the published article/ paper must be deposited at the University / Institute library.</li> <li>6. Minimum tenure: 2 Years; Maximum: 3 Years.</li> <li>7. PI has to submit 6 month progress report for evaluation by research committee to monitor research output. In case if it is found that proper efforts are not being made further release of fund may cease.</li> <li>8. After completion of the project, a duly filled-in utilization certificate along with the necessary documents must be submitted to the R&amp;D Cell.</li> <li>9. Grant must be utilized for research purpose only, not for infrastructural development.</li> <li>10. Whole grants will not be released at a time. Grants shall be released in a phased manner as decided by the expert committee after assessing the research output.</li> <li>11. Work has to be completed in a time bound manner.</li> <li>12. Extension of time limit will be granted as per decision of the Hon'ble ED on the recommendation of expert committee.</li> </ol>



		<p>13. In case the PI wishes to quit the job, he/ she will have to complete the research project in the allotted tenure or return fund before quitting the job or transfer the project to Co-PI from SRU/SRGOI/SRIMSR who will assume the responsibility of the</p> <p>PI. Also, the outgoing PI has to submit an undertaking clearly stating that he/she will not use the research data or findings or any part of the research work after quitting the University/ Institute. In case of any violation of this regulation, University/ Institute may take disciplinary/ legal action if deemed fit.</p> <p>14. Preference will be given to proposal that have the potential to generate intellectual property.</p> <p>15. Preference will be given to innovative and interdisciplinary research.</p> <p>16. Any IP emerging out of research funded by University/Institute will be owned by University/Institute. Such IPR will recognize contributions of all researchers as "inventors", and any resulting royalties will be shared in 30:70 ratio by SRU/SRGOI/SRIMSR with the inventors.</p>
3.	Grants	<p>1. INR <b>1,50,000 for 3 years</b></p> <p>2. INR <b>1,00,000 for 2 years</b></p>

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**3. Support for Organizing National/ International level Conference/ Seminar/ Symposia/ Workshop/ FDP:**

S. No.	Items	Conditions
1.	Eligibility	University/Institute employee with a full-time appointment at the University/ Institute shall be eligible for financial support to organize national/ international level conference/ seminar/ symposia/ workshop/ FDP.
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. The detailed proposal of Conference/ Seminar/ Symposia/ Workshop/ FDP must be submitted in a prescribed format to R&amp;D Cell for examination and evaluation.</li> <li>2. Financial details of the event must be submitted at least two months prior to the date of event to the R&amp;D Cell.</li> <li>3. Conference/ Seminar/ Symposia duration: Minimum 1 day; Maximum: 2 days. Workshop duration: Minimum 1 day; Maximum 5 days. FDP Duration: Minimum: 7 days Maximum: 15 days</li> <li>4. Grant will be released to the Convener/ Organizing Secretary of the event.</li> <li>5. After completion of the event, a duly filled-in utilization certificate along with the necessary documents must be submitted to the R&amp;D Cell.</li> <li>6. A department is entitled to receive grant only once in an academic year.</li> <li>7. Grant must be utilized for the said purpose only not for any other activity.</li> <li>8. For International event the speaker details shall be submitted to R&amp;D cell before two months of the event date.</li> <li>9. Final approval will be done by the Hon'ble ED on the recommendation of R &amp; D Cell.</li> <li>10. All the expenses must meet the University/Institute rules and regulations.</li> <li>10. All financial matters related to events should be settled within 1 month of their completion.</li> </ol>
3.	Grants	<ol style="list-style-type: none"> <li>1. National level: Up to 2 days maximum limit INR <b>1,00,000.</b></li> <li>2. International level: Up to 2 days maximum limit INR <b>1,50,000.</b></li> </ol>

  
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**4. Support for attending National/ International level FDP/Conference/ Seminar/ Symposia/ Workshop:**

S. No.	Items	Conditions
1.	Eligibility	University/Institute employee with a full-time appointment and with one year of service at the University/Institute shall be eligible to apply
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. Applications in a prescribed format must be submitted at least one month prior to the actual date(s) of the event to the R&amp;D cell for examination and evaluation, along with documents in support of the application.</li> <li>2. Travelling details (for outstation event) must be submitted at least one month prior to R&amp;D Cell.</li> <li>3. Registration fee will be provided only for presentation of paper / poster in the Conference / Seminar / Symposia / Workshop / FDP. as per the decision of the Hon'ble ED on the recommendation of the R &amp; D Cell.</li> <li>4. In case of invited speaker or guest lecture/ resource person/ Chairing or Co-chairing a technical session, OD will be provided only.</li> <li>5. In case of invited key-note speaker/ Chief Guest of above-mentioned events TA/ DA will be provided to the applicant if the host Institute does not bear the expenses of the same.</li> <li>6. After completion of the event, a detailed report of participation along with the necessary documents must be submitted to the R&amp;D Cell.</li> <li>7. Copy of registration fee and TA and DA details receipt must be submitted to the R&amp;D cell for reimbursement.</li> <li>8. A faculty is eligible for receiving OD, Registration fee and TA &amp; DA once in two academic years for the above said purpose.</li> <li>9. TA and DA will be provided only for events organized in India. In case of international event, University/Institute will only provide financial support for the registration fee as mentioned in the grant section below. The University or Institute will not bear the air fare, in that case, faculty members are encouraged to apply for travel grants from various government funding agencies.</li> </ol>
3.	Grants	Registration fee ( <b>Max: 5000/-</b> ), TA (3 <sup>rd</sup> AC TO and FRO or <b>Max. 7000/-</b> whichever is less) and DA (as per University/Institute norms)

  
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### 5. Incentives for research grants from State/ National Agency:

S. No.	Items	Conditions
1.	Eligibility	The University/Institute employee with a full-time appointment shall be eligible to apply.
2.	Terms and conditions*	1. Application for research grants must be routed through the R&D Cell. 2. PI has to submit a copy of 6 monthly progress reports to R&D cell for entire tenure if the project is awarded. 3. A copy of completion report of the project along with the necessary documents must be submitted the R&D Cell. 4. The PI or his/her team must publish the findings of the research in at least two to three research papers or articles and the publications must be in SCI/ SSCI/ AHCI/ SCOPUS/ UGC-CARE listed journals. The number of publications may increase depending upon the grants received and the duration of the project. 6. A copy of the published journal/ article/ paper must be deposited at the University/ Institute library.
3.	Incentive	50% of the grants are marked for the institutional development shall go to the PI and/ or his/ her team for utilization in research activity only.

### 6. Support for other academic activities (such as guest lecture/ expert talk/ external examiner/ dissertation or Ph.D. thesis viva-voce)

S. No.	Items	Conditions
1.	Eligibility	The University/Institute employee with a regular full-time appointment and with more than six months of service at the University/ Institute shall be eligible to apply
2.	Terms and conditions*	1. Applications routed through proper channel must be submitted at least 1 week prior to actual date(s) of event to HR/administrative department for evaluation and approval. 2. Travelling details (for outstation events) must be submitted at least 15 days prior to the admin./ HR department. 3. OD will be provided only for guest lecture/ expert talk/ external examiner/ conducting viva-voce for UG or PG dissertation or Ph.D. Thesis. 4. <b>OD not more than 14 days in an academic year.</b>
3.	Grants	Nil

  
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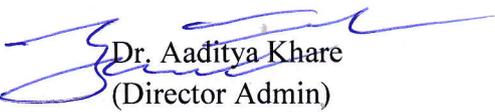
**7. Books/ Book chapter publication incentive:**

S. No.	Items	Condition
1.	Eligibility	The University/Institute employee with a full-time appointment and with six months of service at the University/ Institute shall be eligible to apply
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. Faculty members required to apply on a prescribed format and the same should be submitted to R&amp;D cell.</li> <li>2. Author/s of the published book/ book chapter must have the affiliation of the University/ Institute.</li> <li>3. Publication must contain ISBN.</li> <li>4. A copy of the published book/ book chapter must be deposited at the University Library.</li> <li>5. Incentives will be provided only after verification and certification by University/ Institute library.</li> <li>6. An individual faculty shall be eligible for incentive for two books/ book chapter publications only in an academic year.</li> </ol>
3.	Incentive	<ol style="list-style-type: none"> <li>1. Book author: <b>INR 7,000/-</b> per publication.</li> <li>2. Book editor (from University/Institute): <b>INR 5000/-</b> per publication</li> <li>3. Chapter author/ editor: <b>INR 2000/-</b> per publication</li> <li>4. If all the authors/ editors are from University/Institute then only the first author will be eligible for 60% incentives. Rest amount will be distributed equally amongst other authors.</li> <li>5. In case co-author(s) are from University/Institute then incentive will be distributed as per following               <ul style="list-style-type: none"> <li>1<sup>st</sup> Co-author: 75% of incentive</li> <li>2<sup>nd</sup> Co-author: 50% of incentive</li> <li>3<sup>rd</sup> Co-author: 25% of incentive</li> </ul>               If two or more co-authors are from University/Institute then the incentive will be distributed as per following:               <ul style="list-style-type: none"> <li>1<sup>st</sup> Co-author: 60% of 75% and rest will be divided equally amongst other</li> <li>2<sup>nd</sup> Co-author: 60% of 50% and rest will be divided equally amongst other</li> <li>3<sup>rd</sup> Co-author: 60% of 25% and rest will be divided equally amongst other</li> </ul> </li> </ol>

  
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### 8. Patent Publication Incentive:

S. No.	Items	Condition
1.	Eligibility	The University/Institute employee with a regular full-time appointment shall be eligible to apply
2.	Terms and conditions	<ol style="list-style-type: none"> <li>1. Faculty member required to apply on a prescribed format and same should be submitted to the R&amp;D cell.</li> <li>2. Published patent must have the affiliation of the University/ Institute.</li> <li>3. Only published patent at the official website of Patent Office of India will be considered.</li> <li>4. Publication must contain patent number.</li> <li>5. A copy of the published patent must be deposited at the University/ Institute library.</li> <li>6. Incentives will be provided only after verification and certification by University library and R&amp;D cell after assessing and thorough verification of all the necessary documents submitted by the claimant.</li> <li>7. The patent filing process shall be routed through CCOST and if required the financial cost will be borne by the University/ Institute.</li> <li>8. Final approval will be granted by the Hon'ble ED on the recommendation of the R &amp; D Cell.</li> <li>9. Any IP emerging out of Patent publication or Grant of Patent or Lawsuits will be owned by the University/ Institute. Such IPR will recognize contributions by all researchers as "inventors", and any resulting royalties will be shared in 30:70 ratio by University/ Institute with the inventors.</li> <li>10. An individual faculty shall be eligible for incentive for one patent publication only in an academic year.</li> </ol>
3.	Incentive	<ol style="list-style-type: none"> <li>1. For patent publication: <b>INR 10,000/-</b> only per publication.</li> <li>2. In case multiple authors are from University/ Institute the incentive amount will be distributed as per following: PI/ First author will receive 60% of incentive, rest of the amount will be distributed equally amongst other candidates (Co-PI/ Co-author).</li> <li>3. If patent is published by author(s) outside the University/ Institute and co-author(s) are from University/ Institute then incentive will be distributed as per following: 1<sup>st</sup> Co-author: 75% of incentive 2<sup>nd</sup> Co-author: 50% of incentive 3<sup>rd</sup> Co-author: 25% of incentive</li> <li>5. If two or more coauthors are from University/ Institute then the incentive will be distributed as per following: In case 1<sup>st</sup>co-author and other co-authors are from University/ Institute: 1<sup>st</sup> co-author will get 60% of 75% and rest will be divided equally amongst others In case 2<sup>nd</sup> co-author and other co-authors are from University/ Institute: 2<sup>nd</sup>co-author will get 60% of 50% and rest will be divided equally amongst others In case 3<sup>rd</sup> co-author and other co-authors are from University/ Institute: 3<sup>rd</sup>co-author will get 60% of 25% and rest will be divided equally amongst others</li> </ol>

  
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### 9. Patent Grant incentive:

S. No.	Items	Condition
1.	Eligibility	The University/ Institute employees with a full-time appointment shall be eligible to apply
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. Faculty member need to apply on a prescribed format and same should be addressed to Dean, R &amp; D.</li> <li>2. Patent grant must have the affiliation of the University.</li> <li>3. Only published patent at the official website of Patent Office of India will be considered.</li> <li>4. Patent grant must contain patent number and details of invention (s) and specifications.</li> <li>5. A copy of the published patent grant must be deposited at the University/ Institute library.</li> <li>6. Incentives will be provided only after verification and certification by University library and R&amp;D cell after assessing and thorough verification of all the necessary documents submitted by the claimant.</li> <li>7. In case of patent grant, novel ideas, design or invention must have outstanding commercial value and excellent research work.</li> <li>8. In case of compulsory license, details should be disclosed.</li> <li>9. The patent filing process shall be routed through CCOST and if required the financial cost will be borne by the University/ Institute.</li> <li>10. Final approval will be granted by the Hon'ble ED on the recommendation of R &amp; D Cell.</li> <li>11. Any IP emerging out of Patent publication or Grant of Patent or Lawsuits will be owned by University/Institute. Such IPR will recognize contributions by all researchers as "inventors", and any resulting royalties will be shared in <b>30:70</b> by <b>University/Institute</b> with the <b>inventors</b>.</li> <li>12. An individual faculty shall be eligible for incentive for one patent publication only in an academic year.</li> </ol>
3.	Incentive	<ol style="list-style-type: none"> <li>1. For patent grant: <b>INR 20,000/-</b> only per grant considering quality of work, usefulness and commercial value.</li> <li>2. In case multiple authors are from SRU/ SRGOI/ SRIMSR the incentive amount will be distributed as per following: PI/ First author will receive 60% of incentive, rest of the amount will be distributed equally amongst other candidates (Co-PI/ Co-author).</li> <li>3. If patent is published by author(s) outside the University/ Institute and co-author(s) are from SRU/ SRGOI/ SRIMSR then incentive will be distributed as per following 1<sup>st</sup> Co-author: 75% of incentive 2<sup>nd</sup> Co-author: 50% of incentive 3<sup>rd</sup> Co-author: 25% of incentive</li> </ol>



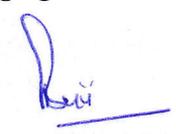
		<p>4. If two or more coauthors are from University/ Institute then the incentive will be distributed as per following:  In case 1<sup>st</sup> co-author and other co-authors are from University/ Institute: 1<sup>st</sup> co-author will get 60% of 75% and rest will be divided equally amongst others  In case 2<sup>nd</sup> co-author and other co-authors are from University/ Institute: 2<sup>nd</sup> co-author will get 60% of 50% and rest will be divided equally amongst others  In case 3<sup>rd</sup> co-author and other co-authors are from University/ Institute: 3<sup>rd</sup> co-author will get 60% of 25% and rest will be divided equally amongst others</p>
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**10. Consultancy/ Testing Services Incentive:**

S. No	Items	Conditions
1.	Eligibility	The University/Institute employee with a full-time appointment in the University/ Institute shall be eligible to apply
2.	Terms and conditions*	<ol style="list-style-type: none"> <li>1. Applications in a prescribed format should be submitted along with necessary documents to Dean, R &amp; D.</li> <li>2. In case of consultancy the party/ client has to deposit a 50% of total consultancy amount to the University/ Institute and the remaining 50% has to be deposited after providing the service.</li> <li>3. In case of testing service, the party/ client has to deposit a 25% of total testing amount to the University/ Institute and the remaining 75% has to be deposited after providing the service.</li> </ol>
3.	Incentive	Grants received will be distributed in the <b>30:70 ratio</b> between University/Institute and the faculty / group of faculties who will provide the consultancy/perform testing.

  
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**\*Terms and Conditions are subject to change from time to time to meet the emerging needs of the University/ Institute.**

  
Executive Director